

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Ms. Anshu Mohta, Company Secretary (ACS 18287) of Protium Finance Limited confirms that Company is aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) regulations, 2015 (PIT Regulations) and certify that

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. All the UPSI disseminated in the previous quarter have been captured in the Database.
4. The system has captured nature of UPSI along with date and time.
5. The database has been maintained internally and an audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture two events during the quarter ended March 31, 2023, and it has been captured.

I would like to report that the no non-compliance(s) was observed in the previous quarter.

For **Protium Finance Limited**

Anshu Mohta



Anshu Mohta
Company Secretary & Head - Compliance
Membership Number: A18287

Date: April 10, 2023

Place: Mumbai

Protium Finance Limited

(Erstwhile Growth Source Financial Technologies Limited)

(Erstwhile Growth Source Financial Technologies Private Limited)

Registered & Corporate Office Address: Nirlon Knowledge Park (NKP), B6, 2nd Floor, Pahadi Village, Off Western Express Highway, Cama Industrial Estate, Goregaon (East), Mumbai 400 063, Maharashtra.

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