

PROTIUM/BSE/DEBT/ 41 / 2023-24
PROTIUM/BSE/CP/ 4 / 2023-24

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Ms. Anshu Mohta, Company Secretary and Compliance Officer (ACS 18287) of Protium Finance Limited (“Company”) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. All the UPSI disseminated in the previous quarter have been captured in the Database.
4. The system has captured nature of UPSI along with date and time.
5. The database has been maintained internally and an audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one event during the quarter ended December 31, 2023, and it has been captured.

I would like to report that the no non-compliance(s) was observed in the previous quarter.

For PROTIUM FINANCE LIMITED

Anshu Mohta
Company Secretary & CCO
Membership No.: A18287



Date: January 3, 2024
Place: Mumbai

Protium Finance Limited

(Erstwhile Growth Source Financial Technologies Limited)

(Erstwhile Growth Source Financial Technologies Private Limited)

Registered & Corporate Office Address: 7th Floor, Block B2, Phase – I Nirlon Knowledge Park, Pahadi Village, Off. Western Express Highway, Cama Industrial Estate, Goregaon(E), Mumbai- 400063, Maharashtra.